| COMPLIANCE AREA | EXECUTIVE COMPLIANCE COMMITTEE MEMBER | COMPLIANCE PARTNER | OBLIGATIONS | |
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| ADMISSIONS | VP OF ADMISSIONS | SENIOR ASSOCIATE DIRECTOR OF ENROLLMENT OPERATIONS | Age Discrimination Act of 1975; Higher Education Opportunity Act; Title IX of the Education Amendment of 1972; Title VI of the Civil Rights Act of 1964 | |
| CONTRACTS & PROCUREMENT | VP BUSINESS AND FINANCE | DIRECTOR OF PURCHASING | Anti-Kickback Act of 1986; Byrd Amendment; Copeland Anti-Kickback Act; E-Verify (Executive Order 1289); Higher Education Act; Non-Retaliation for Disclosure of Compensation Information; Trading with the Enemy Act | |
| EXPORT CONTROLS | VP ACADEMIC AFFAIRS | | Arms Export Control Act; Export Administration Act of 1979; International Emergency Economic Powers Act; International Traffic in Arms Regulations (ITAR); Trading with the Enemy Act | |
| ETHICS | VP BUSINESS AND FINANCE | UNIVERSITY COMPLIANCE OFFICER | Ethics in Government Act (Amended by Ethics Reform Act and Honest Leadership and Open Government Act of 2007); House and Senate Gift Ban and Ethics Rules | |
| RESEARCH | VP ACADEMIC AFFAIRS | CHAIR OF INSTITUTIONAL REVIEW BOARD | America COMPETES Act §7009; Animal Welfare Act; Clinical Trials Financial Disclosures by Investigator; Common Rule; Export Administration Regulations; Food and Drug Administration (FDA) Amendments Act of 2007; National Science Foundation Research Misconduct Policies; Public Health Service Policies on Research Misconduct | |
| ACCOUNTING | VP BUSINESS AND FINANCE | DIRECTOR OF ACCOUNTING | Bankruptcy Abuse Prevention & Consumer Protection Act of 2005; Clayton Antitrust Act of 1914; Fair Credit Reporting Act (FCRA); Federal Insurance Contributions Act (FICA); Federal Unemployment Tax Act; Higher Education Opportunity Act; Regulation E: Electronic Fund Transfers; Sarbanes Oxley Act of 2002 (SOX); Sherman Antitrust Act; Social Security Act; Truth in Lending Act; Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance, Super Circular, or Omni Circular); Unrelated Business Income Tax | |
| HOUSING | VP STUDENT AFFAIRS | DIRECTOR OF HOUSING AND RESIDENCE LIFE | Americans with Disabilities Act; Section 504 of The Rehabilitation Act of 1973; Title IX of the Education Amendment of 1972 | |
| ACADEMIC PROGRAMS | VP ACADEMIC AFFAIRS | | Age Discrimination Act of 1975; Americans with Disabilities Act; Higher Education Opportunity Act; Title IX of the Education Amendment of 1972; Title VI of the Civil Rights Act of 1964 | |
| HIGHER EDUCATION OPPORTUNITY ACT | VP BUSINESS AND FINANCE | UNIVERSITY COMPLIANCE OFFICER | Public Law No. 110-315; 20 U.S.C. § 1099b | |
| AUXILIARY SERVICES | SENIOR VP DEVELOPMENT AND ADMINISTRATION | DIRECTOR OF AUXILIARY SERVICES | Higher Education Opportunity Act | |
| CAMPUS SAFETY | VP STUDENT AFFAIRS | CHIEF OF CAMPUS SAFETY | Campus Sex Crimes Prevention Act (§1601 of the Victims of Trafficking and Violence Protection Act of 2000); Americans with Disabilities Act; Drug Free Schools and Communities Act; Emergency Planning and Community Right to Know Act (EPCRA); Higher Education Opportunity Act; Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act) and Violence Against Women Act; Occupational Safety and Health Act of 1970 | |

| COPYRIGHT AND TRADEMARK | GENERAL COUNSEL | | Copyright Act; Copyright Term Extension Act; Digital Millennium Copyright Act (DMCA); Higher Education Opportunity Act; Lanham Act; Small Webcasters Settlement Act of 2002; Technology Education and Copyright Harmonization Act (TEACH ACT) of 2002 | |
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| DISABILITIES | VP BUSINESS AND FINANCE | ADA COORDINATOR | Americans with Disabilities Act; Section 504 of The Rehabilitation Act of 1973; The Family and Medical Leave Act of 1993 | |
| DIVERSITY/AFFIRMATIVE ACTION | VP BUSINESS AND FINANCE | DIRECTOR OF EMPLOYEE SERVICES | Affirmative Action/Equal Employment Opportunity; Age Discrimination Act of 1975; Age Discrimination Employment Act of 1967; Americans with Disabilities Act; Equal Employment of Veterans; Equal Pay Act of 1963; Genetic Information Non- Discrimination Act of 2008; Immigration and Nationality Act; Lilly Ledbetter Fair Pay Act of 2009; Section 504 of The Rehabilitation Act of 1973; Title IX of the Education Amendment of 1972; Title VI of the Civil Rights Act of 1964; Title VII of the Civil Rights Act of 1964; Uniformed Services Employment and Reemployment Rights Act (USERRA) | |
| ENVIRONMENTAL HEALTH & OCCUPATIONAL SAFETY | SENIOR VP DEVELOPMENT AND ADMINISTRATION | DIRECTOR OF AUXILIARY SERVICES | Asbestos Hazard Emergency Response Act; Chemical Facility Anti-Terrorism Standards; Clean Air Act; Clean Water Act; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA); Emergency Planning and Community Right Act (EPCRA); Energy Policy Act; Federal Insecticide, Fungicide and Rodenticide Act; Guarding and Use of Hand & Portable Powered Tools; Hazardous and Solid Waste Amendments of 1984; Hazardous Materials Transportation Act; Housing & Urban Development Lead Based Paint Poisoning in Certain Residential Structures; Mandatory Reporting of Greenhouse Gases; Medical Waste Tracking Act; Motor Carrier Act of 1980; Oil Pollution Act; OSHA; OSHA - Bloodborne Pathogens Standard; OSHA Asbestos in Construction Standard; OSHA Asbestos in General Industry Standard; OSHA Enforcement Guidance for Personal Protective Equipment (PPE) in General Industry; OSHA Lead in Construction Standard; OSHA Lead in General Industry Standard; OSHA Welding, Cutting, and Brazing; OSHA�s Hazard Communication Standard; Resource Conservation and Recovery Act; The Public Health Security and Bioterrorism Preparedness and Response Act; Toxic Substances | |
| FINANCIAL AID | VP BUSINESS AND FINANCE | EXECUTIVE DIRECTOR OF STUDENT FINANCIAL SERVICES | Higher Education Opportunity Act; Higher Education Opportunity Act of 2008; Qualified Tuition and Student Loan Interest Reporting; Student Loan Default Prevention Initiative Act of 1990; Student Right to Know Act; Title IX of the Education Amendment of 1972 | |
| GOVERNANCE | VP BUSINESS AND FINANCE | UNIVERSITY COMPLIANCE OFFICER | Federal Sentencing Guidelines; Internal Revenue Service: Governance Information Required on Form 990 | |
| HEALTH CARE AND INSURANCE | VP BUSINESS AND FINANCE | DIRECTOR OF EMPLOYEE SERVICES | Controlled Substances Act; Higher Education Opportunity Act; HIPAA | |
| HUMAN RESOURCES | VP BUSINESS AND FINANCE | DIRECTOR OF EMPLOYEE SERVICES | | |
| IMMIGRATION | VP ACADEMIC AFFAIRS | DIRECTOR OF INTERNATIONAL STUDENT SERVICES | Immigration and Nationality Act (INA); Student Exchange and Visitor Information System (SEVIS) | |
| INTELLECTUAL PROPERTY & TECHNOLOGY TRANSFERS | CHIEF INFORMATION OFFICER | | American Jobs Creation Act of 2004; Bayh-Dole Act of 1980; Cooperative Research and Technology Enhancement Act (CREATE Act); Trademark Revision Act; U.S. Patent Act; Visual Artists Rights Act | |

| PRIVACY & INFORMATION SECURITY | CHIEF INFORMATION OFFICER | NETWORK SECURITY ADMINISTRATOR | Americans with Disabilities Act; Children's Online Privacy Protection Act of 1998 (COPPA); Electronic Communications Privacy Act; Fair and Accurate Credit Transaction Act (FACTA); Fair Credit Reporting Act (FCRA); Federal Information Security Management Act (FISMA); FERPA; Freedom of Information Act; Gramm Leach Bliley Act (GLBA); Health Information Technology for Economic and Clinical Health (HITECH) Act of 2009; HIPAA | |
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| PROGRAM INTEGRITY RULES | VP BUSINESS AND FINANCE | UNIVERSITY COMPLIANCE OFFICER | Higher Education Act | |
| SEXUAL MISCONDUCT | VP BUSINESS AND FINANCE | TITLE IX COORDINATOR | Campus Sex Crimes Prevention Act (§ 1601 of the Victims of Trafficking and Violence Protection Act of 2000); Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act) and Violence Against Women Act; Title IX of the Education Amendment of 1972; Title VII of the Civil Rights Act of 1964 | |
| TAX | VP BUSINESS AND FINANCE | DIRECTOR OF ACCOUNTING | Byrd Amendment; Cafeteria Plans; Charitable Gift Annuity Antitrust Relief Act; Deferred Compensation; Employee Annuities; Federal Unemployment Tax Act; Foreign Bank Accounts and Tax Filings; Fringe Benefits; Independent Contractors; Industrial Alcohol User Permits and Special Tax; Nonqualified Deferred Inclusion; Public Disclosure of Material; Qualified Pensions; Qualified Tuition and Student Loan Interest Reporting; Reporting of Payments of Royalties; Small Business Job Protection Act; Unrelated Business Income (UBIT) | |
| INTERNATIONAL ACTIVITIES AND PROGRAMS | VP ACADEMIC AFFAIRS | DIRECTOR OF INTERNATIONAL STUDENT SERVICES | Foreign Agents Registration Act of 1938; Foreign Corrupt Practices Act (FCPA) | |
| LOBBYING AND POLITICAL ACTIVITY | VP BUSINESS AND FINANCE | | Honest Leadership and Open Government Act of 2007; House and Senate Gift Ban and Ethics Rules; Lobbying Disclosure Act; Political Activities | |
| ATHLETICS | ATHLETIC DIRECTOR | DIRECTOR OF ATHLETICS COMPLIANCE; ASSISTANT DIRECTOR OF ATHLETICS/SWA | Equity in Athletics Disclosure Act; Title IX | |
| FUNDRAISING & DEVELOPMENT | SENIOR VP DEVELOPMENT AND ADMINISTRATION | | Controlling the Assault of Non-Solicited Pornography And Marketing Act of 2003 (CAN-SPAM Act); Higher Education Act; Higher Education Opportunity Act; Internal Revenue Code: Substantiation and Disclosure Provisions; Pension Protection Act; Philanthropy Protection Act of 1995 | |
| GRANTS MANAGEMENT | SENIOR VP DEVELOPMENT AND ADMINISTRATION | | American Recovery and Reinvestment Act of 2009 (ARRA) "Jobs Accountability Act"; Byrd Amendment; Department of Education General Administrative Regulations and Other Applicable Grant Regulations; Department of Health and Human Services Grants Policy Statement; False Claims Act; Federal | |